

FEE TRANSMITTAL FOR FY 2005

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). TOTAL AMOUNT OF PAYMENT (\$) 180.00 Complete if Known: Application No. 09/932,693 August 16, 2001 **Filing Date** First Named Inventor Tobin **Examiner Name** J. Winter **Art Unit** 3621 080398.P402 Attorney Docket No. Applicant claims small entity status. See 37 CFR 1.27. **METHOD OF PAYMENT** (check all that apply) None Other (please identify) Check Credit Card Money Order _ **Deposit Account** Deposit Account Number: 02-2666 **Deposit Account Name:** The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. X Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **Large Entity Small Entity** Fee Fee Fee Fee Fee Description Fees Paid (\$) Code (\$) Code (\$) Utility application filing fee 1011 300 2011 150 250 Utility search fee 1,000/500 1111 500 2111 **Utility examination fee** 1311 200 2311 100 1012 200 2012 100 Design application filing fee 1112 100 2112 50 Design search fee 430/215 Design examination fee 1312 130 2312 65 1013 200 2013 100 Plant filing fee 660/330 1113 300 2113 150 Plant search fee Plant examination fee 1313 160 80 2313 1004 300 2004 150 Reissue filing fee 1114 2114 250 Reissue search fee 1,400/700 500 1314 600 2314 300 Reissue examination fee 1005 200 2005 100 Provisional application filing fee SUBTOTAL (1) \$ 0.00

2. EXCESS CLAIM FEES

	Extra Claims		Fee from below		Fees Paid (\$)
Total Claims	- 20 or HP =	X	\$50.00	=	
	total claims paid for, if greater than 20 - 3 or HP =	Х	\$200.00	=	
HP = highest number of	ndependent claims paid for, if greater than 3				
Multiple Dependent C	aims			=	

Large	<u>Entity</u>	<u>Small I</u>	<u>Entity</u>	
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

SUBTOTAL (2) \$_____

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each add'l 50 or fraction thereof		Fee from below	Fees paid (\$)
	- 100 = /	50 = (round up to whole number)	X	\$250.00	

Large	Entity	Small I	Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u> : Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ 0.00

FEE CALCULATION (continued) 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** Surcharge - late filing fee or oath 1051 2051 130 65 1052 Surcharge - late provisional filing fee or cover sheet 2052 25 50 1053 130 Non-English specification 130 1053 1812 2,520 1812 2.520 For filing a request for ex parte reexamination 1813 8.800 1813 8,800 Request for inter parties reexamination Requesting publication of SIR prior to Examiner action 1804 920* 1804 920* 1,840* Requesting publication of SIR after Examiner action 1805 1,840* 1805 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1.020 2253 510 Extension for reply within third month Extension for reply within fourth month 1254 1,590 2254 795 1,080 Extension for reply within fifth month 1255 2,160 2255 1401 500 2401 250 **Notice of Appeal** 250 Filing a brief in support of an appeal 1402 500 2402 500 1403 1,000 2403 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 500 2452 250 Petition to revive – unavoidable Petition to revive - unintentional 1453 1.500 2453 750 2501 700 Utility issue fee (or reissue) 1501 1,400 1502 800 2502 400 Design issue fee 1503 1100 2503 550 Plant issue fee Petitions to the Commissioner (CFR 1.17(f) Group I) 1462 400 1462 400 200 Petitions to the Commissioner (CFR 1.17(g) Group II) 1463 200 1463 1464 1464 130 Petitions to the Commissioner (CFR 1.17(h) Group III) 130 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1806 180 1806 180 **Submission of Information Disclosure Stmt** \$180.00 Recording each patent assignment per 40 8021 40 8021 property (times number of properties) 1809 790 2809 395 For filing a submission after final rejection (see 37 CFR 1.129(a)) 65 **Statutory Disclaimer** 1814 130 2814 2810 395 For each additional invention to be examined 1810 790 (see 37 CFR 1.129(b)) 1801 790 2801 395 Request for Continued Examination (RCE) 900 Request for expedited examination of a design 1802 900 1802 application 300 1504 300 1504 Publication fee for early, voluntary, or normal pub. 300 Publication fee for republication 1505 300 1505 Request for voluntary publication or republication 1803 1803 130 130 1808 1808 130 Processing fee under 37 CFR 1.17(i) (except provisionals) 130 1,370 1454 1454 1,370 Acceptance of unintentionally delayed claim for priority Other fee (specify) Other fee (specify) **SUBTOTAL (4) \$ 180.00** *Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Sheryl Sue Holloway 2000 Signature **Telephone Number:** <u>408-720-8300</u> **Reg. Number:** 37,850

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney's Docket No. 080398.P402

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Tobin

Application No.: 09/932,693

Filed:

August 16, 2001

For: Monitoring and Managing Delivery of

Shipped Items

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Examiner: J. Winter

Art Unit: 3621

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search

I hereby certify that this correspondence is being deposited with the United States Postal Service Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on (Date of Deposit) Carla Anysia Nascimento

(Typed or printed name of person making correspondence)

(Signature of person mailing correspondence

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has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 0	C.F.R. §1.97(b).
X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
 	A statement pursuant to 37 C.F.R. §1.97(e) or
<u>X</u>	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A () () () () () () () () ()

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 80872, 2005

Sheryi Sue Holloway

Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Substitute	for Form 1449	PTO		Complete if Known		
	INFOR	εΝΛΔ	TION DISCLOSUR	F	Application Number	09/932,693
TPE	1141 ()1				Filing Date	August 16, 2001
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EP 07 2005	<u>w</u>)	(use as	s many sheets as necessary)		Art Unit	3621
FL A.					Examiner Name	J. Winter
Sheet	1		of	1	Attorney Docket Number	080398.P402
			II C DATEN	IT DOCUMENTS		
			U.S. PATEN			
Examiner Initials*	Cite No.1		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Numi	ber-Kind Code ² (If known)			Figures Appear
		US-	6,816,843	11-09-2004	Baughman	
		US-	2004/0002903 A1	01-01-2004	Stolfo, et al.	
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	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
Examine Signature				Date Cor	nsidered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.